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**Citation:** Bianchi, G., Kovacevic, A., Cipollone, R., Murgia, S. & Contaldi, G. (2016). Development of a general numerical methodology for CFD analyses in sliding vane machines and application on a mid-size oil injected air compressor. Paper presented at the 23rd International Compressor Engineering Conference, 11-14 Jul 2016, Indiana, USA.

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## Development of a general numerical methodology for CFD analyses in sliding vane machines and application on a mid-size oil injected air compressor

Giuseppe BIANCHI<sup>1,2\*</sup>, Sham RANE<sup>2</sup>, Ahmed KOVACEVIC<sup>2</sup>,  
Roberto CIPOLLONE<sup>1</sup>, Stefano MURGIA<sup>3</sup>, Giulio CONTALDI<sup>3</sup>

<sup>1</sup>University of L'Aquila,  
Department of Industrial and Information Engineering and Economics,  
L'Aquila, Italy  
(giuseppe.bianchi@univaq.it, roberto.cipollone@univaq.it)

<sup>2</sup>City University London,  
Centre for Compressor Technology,  
London, United Kingdom  
(sham.rane@city.ac.uk, a.kovacevic@city.ac.uk)

<sup>3</sup>Ing. Enea Mattei S.p.A.,  
Vimodrone, Italy  
(stefano\_murgia@mattei.it, giulio\_contaldi@mattei.it)

\* Corresponding Author

### ABSTRACT

The current work presents the development of a numerical methodology to investigate sliding vane rotary machines by means of advanced design tools such as the Computational Fluid Dynamics ones. Although highly limited in this topic, literature shows that the major constraint for the employment of such approaches is the deformation and motion of the rotor mesh, i.e. the computational grid related to the fluid volume between stator, rotor and blades of the positive displacement vane device. To address these issues, a novel grid generation approach is herein proposed and accomplished through a series of steps: geometrical 2D modeling of the machine cross section profile, boundary generation of the rotor mesh and, eventually, distribution of computational nodes using algebraic algorithms with transfinite interpolation, post orthogonalization and smoothing. This methodology was subsequently tested on an industrial vane compressor comparing the results of oil free and oil injected simulations set up in the ANSYS CFX solver. Results show angular pressure evolution inside the compressor vanes, a recirculation region induced by the clearance between the vane tip and the stator as well as the cooling effects of the oil entrained in the cells during the compression phase.

### 1. INTRODUCTION

Compressed air is an indispensable utility and, at the same time, one of the factors that highly affects the energy costs of industrial facilities. On a global scale, compressed air production accounts for 4.2% of electricity consumptions, 710 Mtonne/yr in absolute terms (IEA, 2015). In order to lower this share and the corresponding CO<sub>2</sub> emissions, the scientific and industrial communities have been developing energy saving and recovery strategies in compressed air systems. In particular, it has been estimated that more efficient compressors might contribute for up to 10% of the energy saving potential (Saidur et al., 2010). Although current air compressor market for industrial applications is led by screw machines, other positive displacement technologies are also available. Among them, sliding vane rotary compressors benefit of intrinsic geometrical and operating features that might forecast a breakthrough in compressed air systems.

The lower industrial interest in the development of advanced sliding vane machines, in turn, motivates the limited scientific literature about this research topic. Among the available works, Badr et al. (1985a, 1985b) firstly

performed a series of studies concerned to the development of a comprehensive modeling approach for sliding vane expanders including geometry, vane kinematics, blade dynamics and friction phenomena as well as energy balances. Modeling and experimental studies in steady state conditions were also carried out by Tramschek and Mkumbwa (1996) on circular vane compressors using radial and non-radial blade arrangements inside the rotor slots. Additionally, Al-Hawaj (2009) modeled a dual stage elliptical sliding vane compressor for refrigeration applications. Bianchi and Cipollone (2015a, 2015b) eventually presented comprehensive numerical and experimental methodologies to address mechanical and thermodynamic improvements in sliding vane machines, with particular focus on air compressors.

The modeling approaches proposed in all the above mentioned research works mostly belong to lumped parameters or one-dimensional (1D) categories. These simplified methods assume uniform or 1D spatial distributions of the physical quantities involved during mass and energy exchanges that highly reduce the computational cost of the simulation. However, they are not able to represent real machine geometry and effects like fluid leakages which are of foremost importance in devices like positive displacement machines. Furthermore, although the experimental validation of these mathematical models allowed to address and estimate further performance improvements of industrial machines, zero and one-dimensional approaches are not suitable to detect failures on existing devices or to properly support the development of future sliding vane prototypes at an industrial level. In order to accomplish studies of greater complexity and accuracy on real industrial products, very recently scientists from academia and industry started to employ state of the art Computational Fluid Dynamics (CFD) tools on sliding vane machines, namely expanders for energy recovery systems based on Organic Rankine Cycles (ORC) and automotive oil pumps. Montenegro et al. (2014), investigated a sliding vane ORC expander with elliptic stator and working with R245fa using the OpenFOAM CFD solver. Due to the lack of an automatic grid generator, the vane mesh was created relying upon the built-in Cartesian mesh tool in OpenFOAM. To speed up the computations, the momentum equation was modified by the introduction of a Darcy-Forchheimer type source term, which takes into account both the viscous and inertial effects occurring in a tiny gap; this resistance source term was locally applied only on the cells located at the flat shaped vane tip. Discrepancies on the output power were up to 12%.

Kolasiński and Błasiak (2015) performed experimental and numerical studies on a micro ORC sliding vane expander with maximum power of 600W using R123. In their modeling activity, to avoid negative volumes in the mesh, the expander geometry was modified reducing vane thickness and increasing the vane tip clearance, whose profile was still flat. This latter adjustment yielded to a modified eccentricity. Movement and deformation of the vane grid were accomplished using an embedded tool of ANSYS suite. In particular, internal vane nodes were relocated according to a displacement diffusion model.

Zhang and Xu (2014) investigated the role of vane tip radius on local cavitation phenomena in an oil pump. In particular, the Authors developed a parameterized vane grid generator coupled with the software Icem-CFD. Because of grid deformation, to avoid generation of artificial mass sources during the numerical solution, an additional equation, namely the space conservation law proposed by Demirdzic and Peric, had to be simultaneously satisfied with the other conservation equations. As concerns the turbulence model set in the Star-CD solver,  $k-\epsilon$  was considered in the free stream regions while  $k-\omega$  SST in regions of adverse pressure gradients and separating flow. To account for cavitation phenomena, Rayleigh model and Volume Of Fluid method were eventually considered.

The bibliographic survey clearly shows that generation and handling of rotor mesh, i.e. the computational grid that discretizes the fluid volume between stator, rotor and blades, are undoubtedly the most challenging tasks for CFD analyses on sliding vane machines and, actually, on any positive displacement device. The limitations of commercial CFD solvers in analyzing positive displacement machinery is additionally mentioned in Prasad (2004). Rane et al. (2013) compared most of the available approaches for grid deformation, namely diffusion equation mesh smoothing, user defined nodal displacement and key-frame re-meshing. These methods were firstly tested on a simple piston test case and further assessed using a real twin screw compressor geometry. Among the three approaches, only the user defined nodal displacement could be successfully applied to the complex twin screw geometry. This fact allowed to state the need of customized grid generators for numerical analyses in positive displacement machines.

In order to overcome all the assumptions and the limitations considered in previous research, the current work proposes the development of a general methodology for grid generation in sliding vane machines based on analytical models to reproduce the boundaries of the rotor mesh and on the meshing algorithms which have been developed at City University London for screw machines over the last two decades. As reference test case, an industrial oil injected sliding vane air compressor was considered. In particular, suction, discharge and oil injection routes were meshed using the embedded tool of the ANSYS suite and subsequently coupled with the rotor mesh generated with the novel grid generation procedure by means of non-conformal sliding interfaces. Transient three-dimensional (3D) multiphase simulations were carried out using the pressure coupled solver of ANSYS CFX. This procedure allowed

to retrieve remarkable information on the flow field developing inside the compressor vane throughout the whole operation of the machine.

## 2. GEOMETRICAL MODELING

In geometrical terms, the core of a sliding vane machine is essentially composed of two cylinders which are eccentrically mounted one inside the other and tangent along a generatrix. The inner cylinder has a circular cross section and presents a series of slots that host an equal number of parallelepipedic blades. The outer cylinder may even have an elliptical cross section and it usually is a motionless component called stator, unlike the inner one that rotates around its axis and it is consequently called rotor. As the inner cylinder rotates, the blades slide along the rotor slots and build up the cells, whose volume changes over a full revolution because of the mounting eccentricity. To minimize friction losses, blade tip usually has a circular profile with circle center on the blade axis such that the contact between blades and stator theoretically occurs along a single generatrix.

Since the core of a sliding vane machine has a constant cross section profile with respect to the rotation axis, the boundaries of the fluid volume enclosed in the core were parameterized using an analytical bi-dimensional (2D) modeling approach that tracks the trajectories of all the relevant points displayed in Figure 1. This method, proposed in Bianchi and Cipollone (2015a), was herein improved to account for finite blade thickness and circular blade tip profile.

### Legend

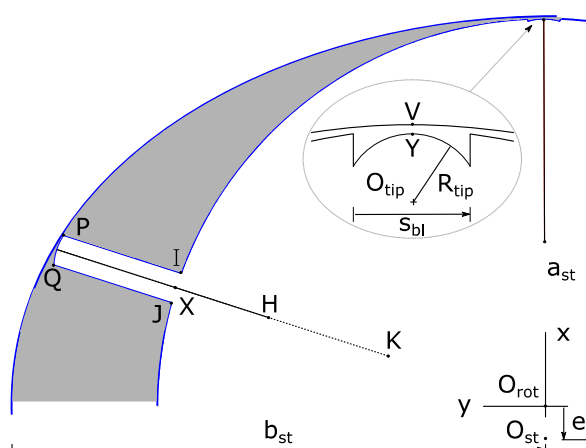
$O_{rot}$ : rotor center	Y: blade tip
$O_{st}$ : stator center	H: blade hub
$O_{tip}$ : tip profile center	PI,QJ: blade sides
X: rotor	K: slot hub
V: stator	

### Input parameters

$R_{rot}$ : rotor radius	$s_{bl}$ : blade thickness
$a_{st}$ : stator x semi-axis	$L_{bl}$ : blade height ( $\overline{YH}$ )
$b_{st}$ : stator y semi-axis	$R_{tip}$ : blade tip radius
$e$ : eccentricity	$T_{gap}$ : tip clearance gap ( $\overline{VY}$ )
$n_{bl}$ : number of blades	

### Output quantities

 rotor mesh profile	 fluid volume
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**Figure 1:** Schematics of the parametric geometrical modeling for sliding vane machines with inputs and outputs

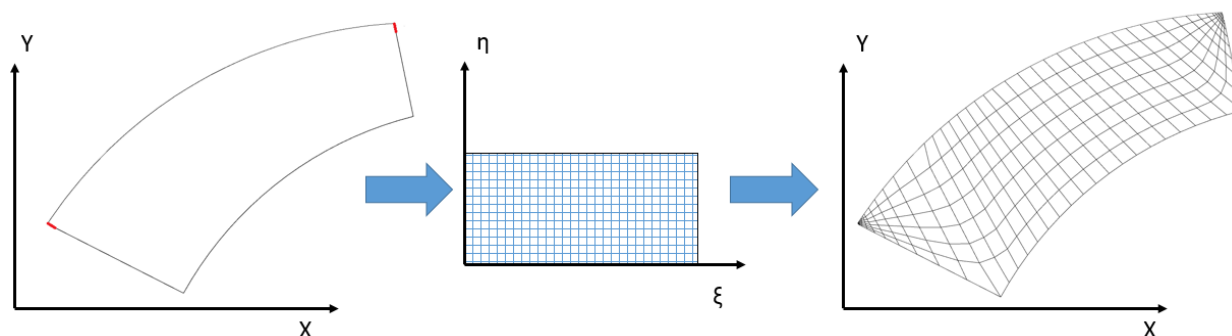
A major assumption in the 2D modeling of the sliding vane machine core and, in turn, in the resulting rotor grid was to consider a constant minimum clearance between blade tip and stator along a full vane revolution. The minimum tip clearance gap is shown in Figure 1 as  $T_{gap}$ . This choice is a trade-off between the ideal tangency described above and real operating conditions, whereas blades' tips slide along a fluid layer (usually oil) that prevents a dry contact with the stator. The first limiting case would have indeed prevented the usage of a single rotor O-grid while the calculation of the instantaneous minimum liquid film thickness between blade tip and stator would have required either the usage of simplified hydrodynamic approaches, as the one in Bianchi and Cipollone (2015a), or complex fluid-structure computations. Additionally, any leakage phenomenon between consecutive vanes at the clearance between rotor slots and blade walls as well as the ones occurring across the end wall plates were neglected due to the tight gaps and slow flow velocities at those locations.

The resulting 2D boundary of the fluid volume enclosed in the core of a sliding vane machine is eventually composed of the stator profile, and the rotor profile with all the blades outside the rotor slots such that the minimum gap between blades' tips and the stator occurs only once per tip.

## 3. GRID GENERATION AND MOTION

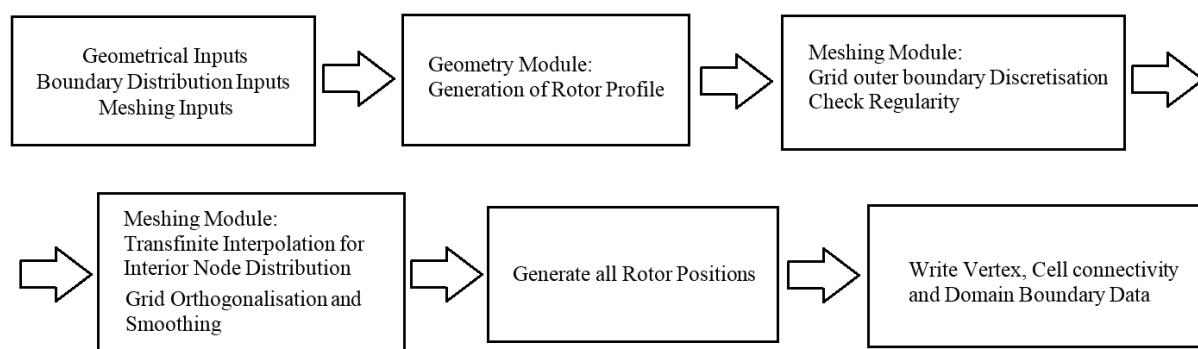
The gas domain of the compressor is the volume entrained between the housing, the rotor and the end plates. During operation, as the rotor turns, each vane divides the full volume into successive chambers that undergo suction, compression and discharge phases. The CFD model of the compressor represents these chambers as fluid volumes that change or deform with flow time. Additionally, at the tip of each vane there is a thin gap that needs to be treated

as the leakage volume. Even though the change in volume of this gap is very small compared to the core region, because of the eccentricity between rotor and stator, the relative shape of these gaps change with rotor positions. The topology of each compression chamber and every connecting leakage gap is a rectangle in the computational domain. Figure 2 shows the domain transformations for the core and the leakage areas when they are treated separately. Although several algorithms for the structured meshing of such rectangular domains are available in practice, Kovacevic et al. (2007) showed that algebraic algorithms with transfinite interpolation, post orthogonalization and smoothing are the most efficient ones for applications like twin screw compressors where a number of mesh files are required to be generated and supplied to the CFD solvers in order to treat mesh deformation. Similar algebraic grid generation principle was used in this work for the vane compressor rotor domain mesh.



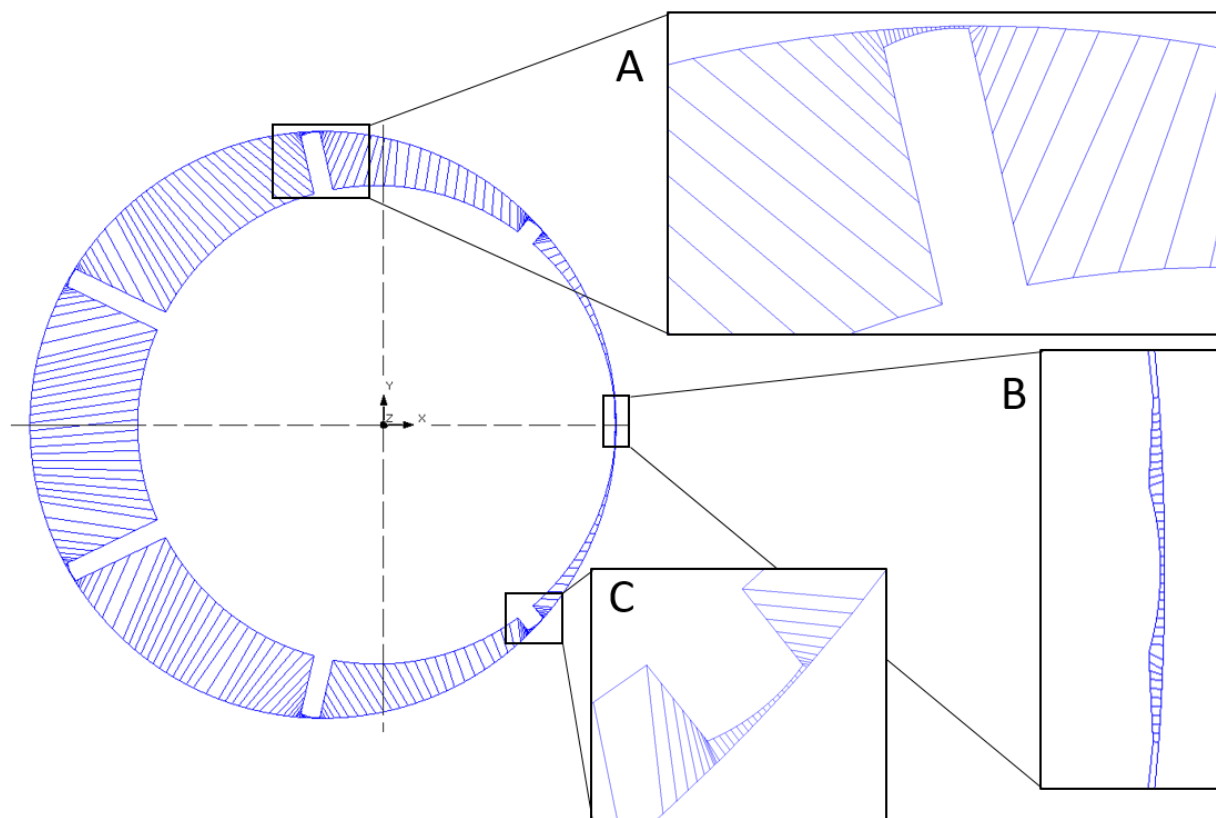
**Figure 2:** Domain transformation from the core region to computational region and then to the grid

Flow chart in Figure 3 lists the main stages of the grid generation procedure. The geometry program described in Section 2 is used to produce the rotor profile along with the vane at the initial rotor position. This forms an input to the meshing algorithm. Successive rotor positions appear to be rigid body rotations for the rotor while for the fluid volume that is deforming, it is a complex boundary motion where rotor rotates, stator is stationary and each of the vane is undergoing a general body motion. For simplification, blade tip clearance gap changes were not accounted in the presented analysis, but the meshing algorithm allows the possibility to further introduce a gap variation function.



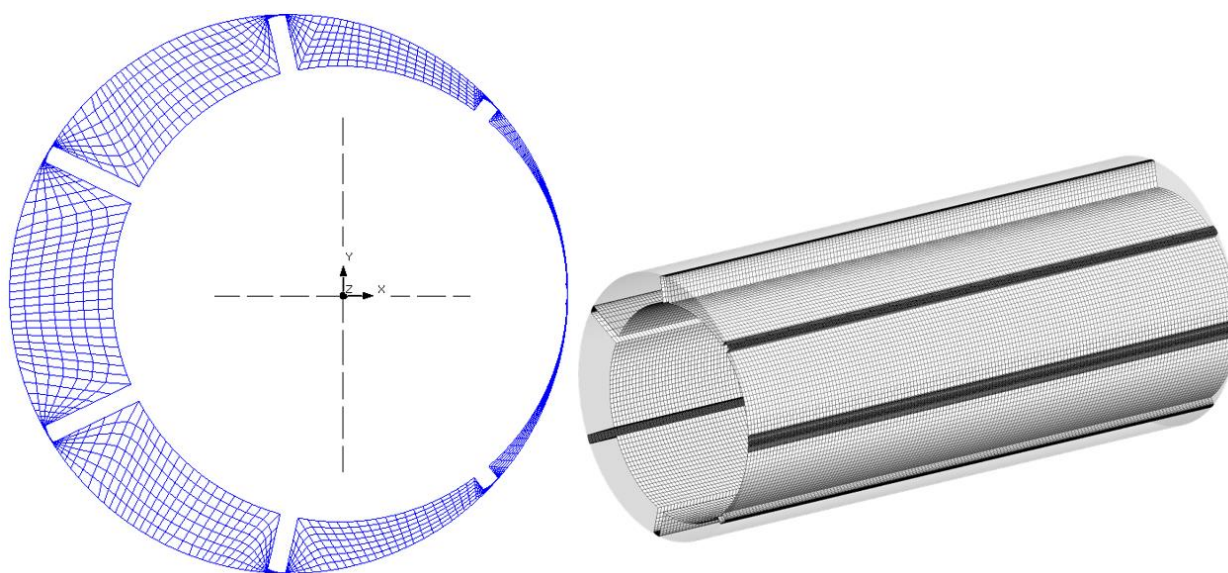
**Figure 3:** Vane compressor mesh generation procedure

The first stage in the meshing procedure is to discretize the boundaries. At this stage, inputs regarding the number of nodes and parameters for various control functions are taken from the user. The boundary discretization produces the distribution into the topology of an 'O' grid, as shown in Figure 4. An 'O' structure for the rotor mesh was purposely selected because it avoids any inaccuracies that can be introduced due to a non-matching mesh connection between core and leakage regions. The sudden transition from the leakage gaps to the core was handled by the introduction of stretching functions that gradually flare the radial mesh lines from leakage gap into the core as seen in views A and C in Figure 4.



**Figure 4:** Boundary discretization as an 'O' Grid and transition function

At the position where the core region topology does not remain rectangular, as it happens when the vane slides inside the rotor, a simplification was introduced to convert the vane side walls into short segments on the rotor surface. This is seen in the view B of Figure 4 and it is anticipated that the leakage flow will not be influenced by this simplification as the gap clearance does not change. Nevertheless, this will have an influence on the solver stability due to sudden change in topology and could demand higher solver relaxation parameters.



**Figure 5:** Inner node distribution and Vane volume mesh generation



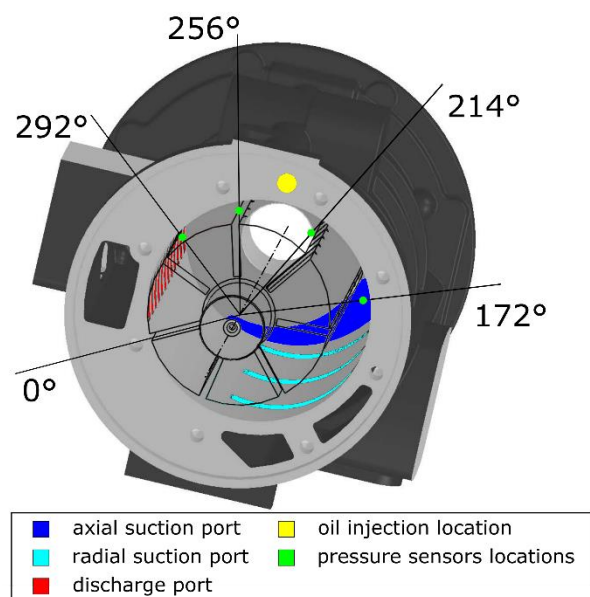
The second stage in mesh generation is distribution of interior nodes. Transfinite interpolation, orthogonalization and smoothing as described in Kovacevic et al. (2005) were used in these procedures to get a good quality hexahedral cell structure. Once the 2D mesh is generated for all rotor positions, the mesh is assembled into 3D volume in the required format of the solver (Figure 5). In the current implementation there is possibility to export the mesh in solvers such as ANSYS CFX, ANSYS FLUENT, STAR CCM+ and Simerics PumpLinx. The entire meshing procedure was implemented in the software package SCORG<sup>TM</sup> developed at City University London.

The rotor domain is eventually connected to suction and discharge ports via non-conformal interfaces, as usually occurs in CFD analysis on positive displacement machines (Kovacevic et al., 2005). Ports meshing is usually carried out using standard tools and with reference to a tetrahedral cell structure to better capture the real geometry.

In the presented analysis, ANSYS CFX solver was used to calculate the oil free and oil injected flow fields in the compressor. This solver was selected mainly because of the Authors' experience gained with oil injected twin screw machines. In particular, ANSYS CFX provides a model called Junction Box Routine that is a user defined library to specify mesh deformation from custom applications such as SCORG. The solver updates the nodes coordinates from set of pre-generated files after every crank angle step (or its submultiples). Time step finally results from crank angle step and revolution speed.

## 4. TEST CASE

As reference application to test the numerical methodology just presented, an industrial mid-size oil injected air compressor was considered. As shown in Figure 6, in this machine the suction process occurs both through axial and radial ports: the first ones are located on the end wall plates of the compressor while the radial suction port is composed of three slanted slots along the stator. On the other hand, the discharge process takes place only through a series of radial slots located on the stator. At a specified position of the rotor and compression process, oil is injected in the compressor cells through a series of calibrated orifices displaced along the axial direction of the machine and fed by a common rail whose cross section is represented by the yellow dot in Figure 6. The operating conditions that were considered as benchmark for the numerical results were 980 RPM as revolution speed and 8.7 bar<sub>a</sub> as outlet pressure (Table 1).



**Figure 6:** Reference sliding vane compressor with indication of ports and sensors locations

Inlet pressure	1.01	bar <sub>a</sub>
Inlet temperature	25.4	°C
Outlet pressure	8.7	bar <sub>a</sub>
Outlet temperature	88.7	°C
Revolution speed	980	RPM
Oil mass flow rate	2.9	kg/s
Oil injection pressure	6.6	bar <sub>a</sub>
Oil injection temperature	65.0	°C
Air mass flow rate	0.203	kg/s
Indicated power	68.2	kW
Shaft power	82.8	kW

**Table 1:** Experimental data for the CFD model

### 4.1 Experimental setup

The compressor was instrumented with pressure, temperature and flow rate sensors across the machine and along the oil injection circuit. Furthermore, a torque meter allowed to retrieve the mechanical power while a set of four piezoelectric pressure transducers were installed along the compression and the discharge phases. On the other hand, intake process was reasonably considered as isobaric. The in-vane pressure data were processed according to the methodology proposed in Bianchi and Cipollone (2015b) and allowed to reconstruct the indicator diagram of the

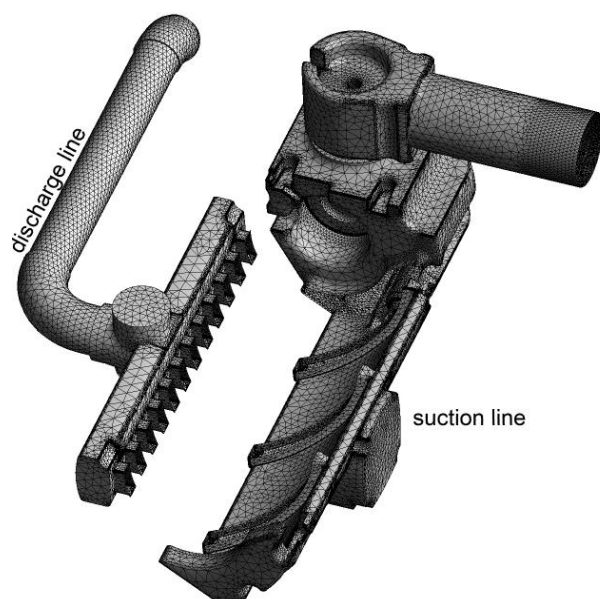


compressor and, in turn, to calculate the indicator power which is listed in Table 1 together with all the quantities measured.

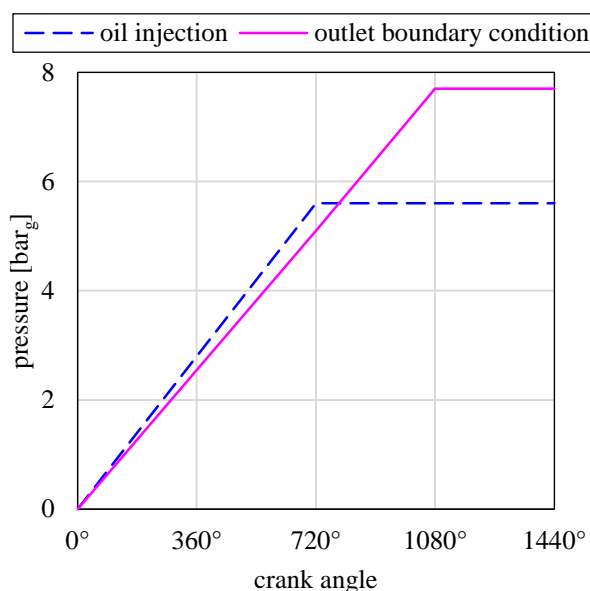
#### 4.2 Numerical setup

In order to evaluate the sealing effect of the oil, two compressor configurations were considered, namely oil free and oil injected. In its complete layout, the computational domain was composed of four main zones: suction line, rotor mesh, oil rail and discharge line. Grids' sizes, expressed in terms of millions of cells, are 1.4, 0.3, 0.2 and 0.4 respectively. As shown in Figure 7, the first and latter domains not only include axial or radial ports but also the intake valve and the discharge pipe. Apart from fluid domain enclosed among stator, rotor and blades, all the fluid zones were retrieved using CAD models of the industrial machine and were further discretized using tetrahedrons. On the other hand, the grid generation of the rotor mesh was accomplished using the methodology presented in the previous sections and with reference to actual machine geometry but using a conservative value for the minimum tip clearance gap ( $T_{gap}$ ) of 200  $\mu\text{m}$ .

ANSYS CFX is a pressure based coupled CFD solver. Air was modeled as ideal gas while oil as a fluid whose thermophysical properties were customized with reference to proprietary data. Pressure boundary conditions were considered both at air and oil inlets as well as at the compressor outlet. The specification of pressure at the discharge boundary and at the oil injection rail is a major factor influencing the numerical stability of the solver. Hence time dependent functions were used to gradually ramp the boundary pressure as shown in Figure 8. Mass and energy exchanges between fixed and rotating grids occurred through non-conformal sliding interfaces circumferentially located along the stator surface (e.g. suction and discharge ports as well as oil orifices) or on the end wall plates for the axial suction ports.



**Figure 7:** Tetrahedral mesh in the Suction and Discharge lines

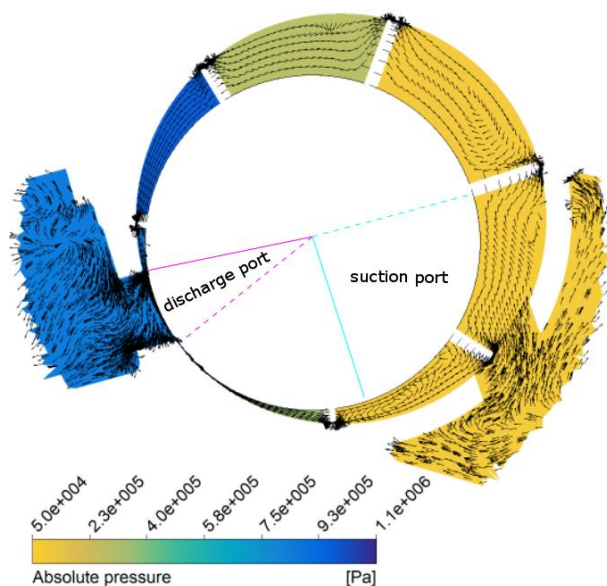


**Figure 8:** Discharge Boundary and Oil Injection Pressure ramp functions

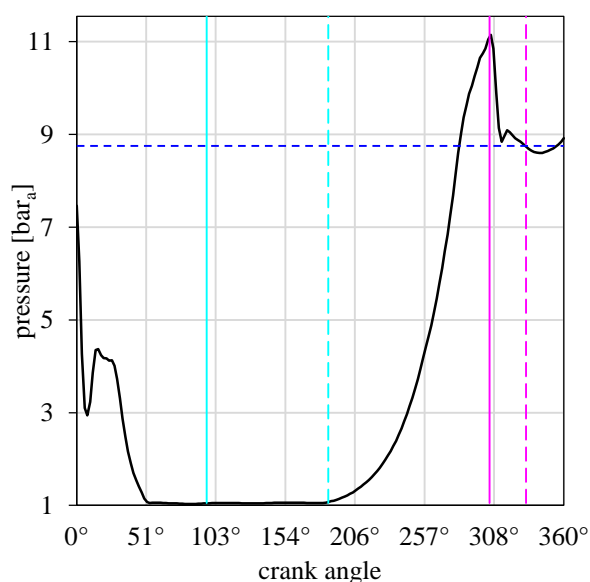
## 5. RESULTS

A first attempt in the numerical investigation of the sliding vane air compressor was performed considering a set of single phase simulations, whereas air was solely taken into account. Results of these calculations after 3 full revolutions are presented in Figures 9 and 10. In particular, Figure 9 reports the pressure contours in a cross section of the machine close to mid length, namely at 285 mm with respect to a full axial length of 540 mm. In this Figure, velocity field is additionally superimposed and normalized. A virtual pressure probe rotating with the vane further allowed to retrieve the angular pressure evolution over a full cycle that is reported in Figure 10. The x-axis of this Figure has been intentionally divided in 7 ranges in order to be in perfect agreement with Figure 9. Angular displacements of intake and discharge ports are reported in both Figures with cyan and magenta lines while dashed blue line in Figure 10 indicates the experimental outlet pressure and, in turn, the outlet boundary condition after the

ramp initialization. Due to the relatively large gap at the tip clearance, a strong backflow could be noticed between the discharge phase and the suction process. Indeed, the clearance at the tangency between stator and rotor ( $0^\circ$  in Figure 10) acts as an orifice which drops the pressure inside the first vane from the discharge value to more than 3 bar. The vane volume increase from the first to the second vane and its interaction with the suction port further contribute to expand the fluid up to the inlet pressure. Nevertheless, the temperature level of the backflow driving the expanded air inside the suction ports is greater than the fresh charge coming from the inlet and mixes with it as occurs in Figure 13, but with a less relevant extent, for the oil-injected case. In turn, the starting temperature of the compression process, that takes place from  $186^\circ$  to  $305^\circ$ , leads the fluid to an over-compression up to 11 bar before being suddenly damped as the discharge begins. This trend is in disagreement with the experimental one which did not show any over-compression. Furthermore, the backflow towards the suction ports led to a mass unbalance in the simulations even though the pressure coupled solver handled the continuity equation in direct way. These remarks contribute to state the need of taking into account the oil presence in the simulations but, more importantly, show that oil injection in sliding vane air compressors is indispensable for sealing across any clearance. Moreover, additional features of the lubricant injection are friction power reduction and air cooling.



**Figure 9:** Absolute pressure contours and velocity field in a cross section close to mid length



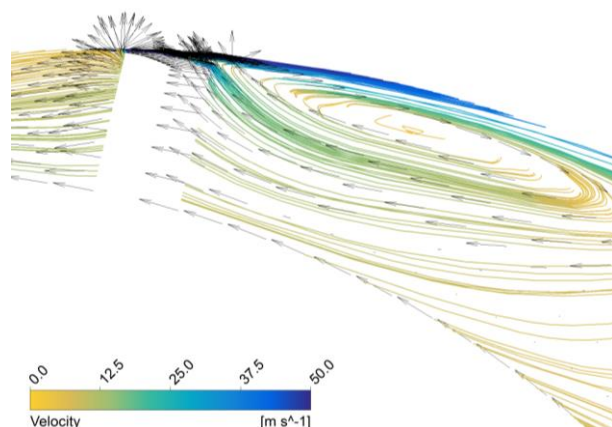
**Figure 10:** Vane pressure evolution with angular references of ports and outlet pressure

Figure 11 presents a detailed view of the air velocity field across the tip region in oil injected simulations with reference to the vane downstream the oil injection process ( $206^\circ$ - $257^\circ$ ). Despite the sealing action of the lubricant, the clearance at the vane tip induces a backflow driven by adverse pressure gradient in opposite direction to the counter clockwise revolution speed sense. In turn, the overall flow field in the compressor vane is affected by a recirculation region whose magnitude is gradually lowered as the leakage flow interacts with the main one (which is aligned with the rotation sense). This phenomenon contributes to enhance the heat transfer between the compressing air and the oil that, after the radial injection shown in Figure 12, spreads along the leading side of the vane and mixes with air. The oil accumulation on the walls of the rotor grid, i.e. on the metallic surfaces which define the vane in reality, can be seen in the bottom left of Figure 12. These oil layers allow to lower friction losses between surfaces in relative motion, namely stator with blade tip and blade side walls with rotor slots.

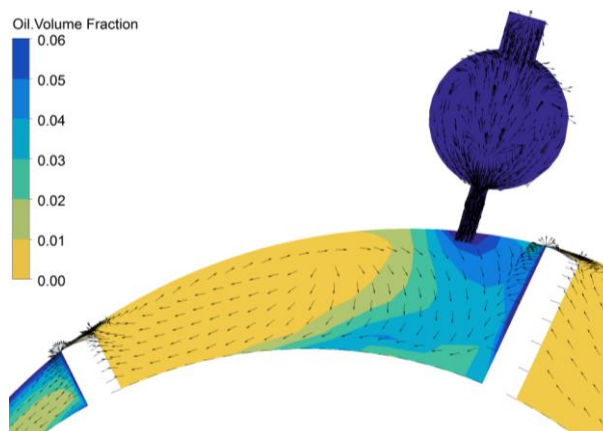
The cooling effect of the oil is additionally noticeable in Figure 13, which reports the total temperature contours in the same mid cross section at 285 mm. The temperature increase during the first stages of the compression process is indeed mitigated in the vane where the oil supply takes place. Nevertheless, as the compression proceeds, air temperature keeps increasing up to  $100^\circ\text{C}$ - $120^\circ\text{C}$ , as it can be seen in cell whose angular range is  $257^\circ$ - $308^\circ$ . Finally, at the discharge manifold, an intense heat transfer takes place and leads the temperature of the air-oil mixture back to  $90^\circ\text{C}$ .

The thermal power gained by the lubricant during the convective heat transfer with air increases oil temperature and, in turn, lowers its viscosity. This phenomenon is presented in Figure 14 using an isosurface of points having an oil

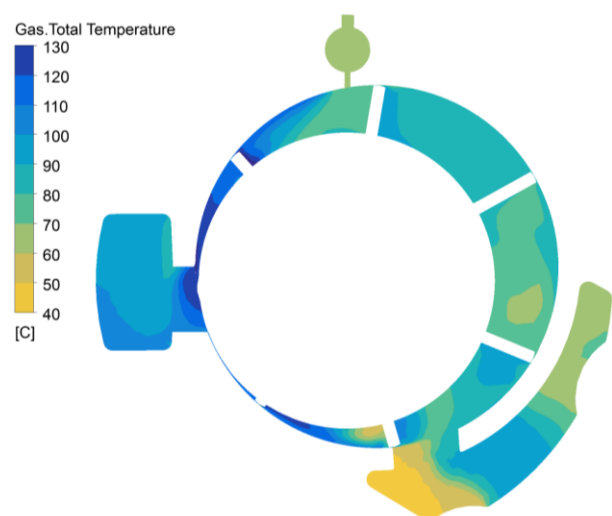
volume fraction equal to 2.5%. The variation of oil dynamic viscosity along the axial direction is essentially due to discrepancies in oil flow rate supplied by each injector because of the single central point inlet. Hence, air cooling is more effective in the central region of the compressor core. On a global scale, oil dynamic viscosity at the discharge phase has lowered 4.4 times with respect to the value at the injection. This effect has a detrimental drawback in the hydrodynamic capabilities that the oil layer must have to sustain the blade tip and to prevent any dry contact with the stator surface.



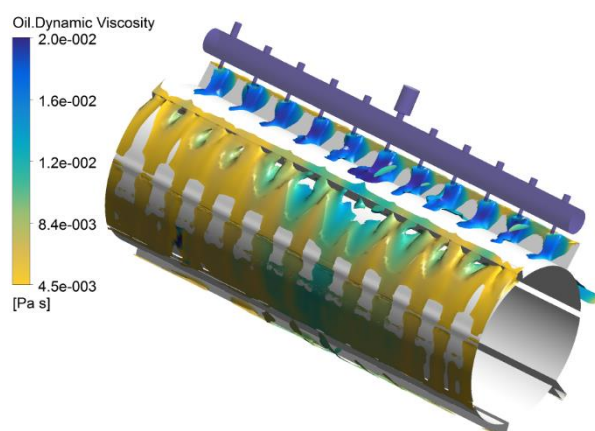
**Figure 11:** Detail of velocity streamlines and vectors in the tip region



**Figure 12:** Contours of oil volume fraction and oil flow field during the injection process



**Figure 13:** Air total temperature contours in a cross section close to mid length



**Figure 14:** Variation of oil dynamic viscosity along an isosurface having a volume fraction of 2.5%

## 6. CONCLUSIONS

The research contents presented in this paper attempted to address the need of advanced designed methodologies in positive displacement vane machines using commercial CFD tools at the state of art. The challenges involved to proper handle computational grids that move and deform during the numerical simulations, such as the ones that enclose the fluid volume inside a vane device, is still an open issue in literature and industry. For this reason, an innovative grid generation methodology for general vane machines was herein developed using a parametric geometrical modeling of the rotor fluid volume and the discretization algorithms successfully implemented for screw machines. This numerical methodology was eventually tested with reference to an industrial sliding vane air compressor using the ANSYS CFX solver. Preliminary single phase simulations showed a high leakage flow at the

clearance between stator and blades' tips. These phenomena were particularly severe when the vane was approaching the tangency region between rotor and stator. Large backflows at high pressure and temperature indeed destabilized the mass balance and led to an over-compression not revealed experimentally. Three-dimensional transient multiphase simulations were consequently performed to take into account the injection of oil during the compression process. This upgrade allowed to track a recirculation region induced in the vane flow field by the leakage flow through the clearance at the tip. Furthermore, the convective heat transfer with air showed the cooling capabilities of the lubricant even when supplied into the vanes as liquid jets. On the other hand, the oil temperature rise decreased its dynamic viscosity and, in turn, its hydrodynamic potential to prevent dry contact between blades and stator. The effects of a variable tip clearance due to oil temperature and blade dynamics will be taken into account in future upgrades of the numerical methodology.

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## ACKNOWLEDGEMENT

The work documented in this report has been funded by the 2015 Scholarship of the Knowledge Center on Organic Rankine Cycle technology (www.kcorc.org), the organization formed by the members of the ORC Power Systems committee of the ASME International Gas Turbine Institute (IGTI).